

Minnesota

Campaign Finance and Public Disclosure Board Meeting

Wednesday, April 26, 2023

9:30 A.M.

Blazing Star Room
Centennial Office Building

REGULAR SESSION AGENDA

1. **Approval of minutes**
 - A. March 1, 2023
2. **Chair's report**
 - A. Meeting schedule
3. **Executive director report**
4. **Public Comments from Alan Roebke – Public Campaign Financing**
5. **Enforcement report**
6. **Legal report**
7. **Other business**

EXECUTIVE SESSION

Immediately following regular session

**STATE OF MINNESOTA
CAMPAIGN FINANCE AND PUBLIC DISCLOSURE BOARD**

.....
March 1, 2023
Blazing Star Room
Centennial Office Building
.....

MINUTES

The meeting was called to order by Chair Soule.

Members present: Asp, Flynn, Leppik, Soule, Swanson

Members absent: Rashid

Others present: Sigurdson, Engelhardt, Hager, Olson, staff; Hartshorn, counsel

MINUTES (February 8, 2023)

The following motion was made:

Member Leppik's motion: To approve the February 8, 2023, minutes as drafted.

Vote on motion: Unanimously passed.

CHAIR'S REPORT

2023 meeting schedule

The next Board meeting is scheduled for 9:30 a.m. on Wednesday, April 5, 2023.

EXECUTIVE DIRECTOR'S REPORT

Mr. Sigurdson stated that the annual lobbyist principal report is due on March 15. Mr. Sigurdson explained that this is the first year that political committees and funds and party units that spend money to influence certain local elections within Hennepin County will need to file additional reports with the Board, starting with a report due on April 14.

Mr. Sigurdson said that the Board's legislative recommendations have been introduced in the legislature within several bills. Mr. Sigurdson said that the campaign finance provisions are largely included in S.F. 1943, which is authored by the Chair of the Senate Elections Committee, passed that committee unanimously in February, and was referred to the Senate Judiciary Committee. Mr. Sigurdson explained that provisions regarding noncampaign disbursements were removed from the

bill and are now included within a separate bill. Mr. Sigurdson said that the provisions regarding express advocacy were also removed as similar provisions are already included in S.F. 3 and H.F. 3. Mr. Sigurdson stated that the provisions regarding increasing the maximum political contribution refund amount were drafted as a separate bill. Mr. Sigurdson explained that DFL legislators are seeking to replace the political contribution refund program with a Democracy Dollar coupon program, and Republican legislators have thus far not supported increasing the maximum refund amount, so the proposal to modify the political contribution refund program currently lacks authors.

Mr. Sigurdson stated that the lobbying provisions are included in S.F. 2121, which is authored by both the chair and the Republican lead in the Senate Elections Committee. Mr. Sigurdson said that the bill was heard yesterday in committee and was laid over for possible inclusion in an omnibus bill.

Mr. Sigurdson stated that the economic interest provisions are included in S.F. 2107, which is authored by the chair of the Senate Elections Committee. Mr. Sigurdson explained that language requiring the disclosure of assets held by a domestic partner was removed, but the bill still includes provisions requiring the disclosure of interests of a spouse of an official.

Mr. Sigurdson said that in the House, all three bills will be authored by the chair of the House Elections Finance and Policy Committee.

EXECUTIVE SESSION

Chair Soule recessed the regular session of the meeting and called to order the executive session in order for the Board to accommodate a testifier who was unavailable later in the day. Upon recess of the executive session, the Board proceeded to consider matters in the order listed in the agenda.

COMMENTS FROM ALAN ROEBKE

Mr. Roebke was not present so the Board proceeded to the next agenda item.

ENFORCEMENT REPORT

A. Consent Items

1. Request to Withdraw Lobbyist Registration of Loren Solberg (3070) for Principal Maglron (8047)

Mr. Hager stated that Mr. Solberg is requesting the withdrawal of his registration for principal Maglron (8047), as he has not lobbied on behalf of this principal due to a conflict of interest.

The following motion was made:

Member Swanson's motion: To approve the request.

Vote on motion: Unanimously passed.

B. Discussion Items

1. Security Waiver Request of Michelle Gransee (Public Official)

Mr. Hager stated that Ms. Gransee is a Department of Commerce Deputy Commissioner, and is the adopted parent of three children whose father was murdered by their mother, who has repeatedly continued to attempt to reach out to the children. Mr. Hager said that Ms. Gransee would like a waiver regarding the reporting of the address of non-homestead properties under Minnesota Statutes section 10A.09, subdivision 9, which provides that "Upon written request and for good cause shown, the board may waive the requirement that an official disclose the address of real property that constitutes a secondary residence of the official."

The following motion was made:

Member Asp's motion: To approve the request.

Vote on motion: Unanimously passed.

2. Jae Hyun Shim – request for payment plan

Mr. Hager stated that Jae Hyun Shim was a public official appointed to the Board of Behavioral Health and Therapy in 2016 and reappointed in May 2020. Mr. Hager said that at the July 28, 2021, Board meeting the Board referred the matter to the Attorney General's Office to seek an order compelling filing of an EIS and payment of the balance owed for a late filing fee and civil penalty. Mr. Hager stated that a judgment was entered against Jae Hyun Shim on November 2, 2022, for a total of \$1,300, which represents \$1,100 in late filing fees and civil penalties for the 2020 annual EIS statement that was due January 31, 2022, and \$200 in court costs, and the Board waived \$500 of the civil penalty at the April 6, 2022, meeting on the condition that the remaining amount of the penalty be paid within 30 days. Mr. Hager explained that no payment was received, therefore the amount waived has been reinstated. Mr. Hager said that at the end of January 2023, Jae Hyun Shim reached out to discuss payment options, explained that they had some health issues and then were unemployed for a period of time, but are willing to enter into a payment plan to pay the \$630 that was due after the Board action in April of 2022. Mr. Hager stated that Mx. Shim states that they can pay \$100 per month with \$130 the last month to resolve this matter. Mr. Hager explained that the Board will need to 1) decide if it will agree to again waive the \$500 civil penalty that was previously waived, 2) decide if the \$200 in court costs should be waived or included in the amount owed, and 3) decide if the Board will authorize the executive director to enter into a payment plan of no less than \$100 a month to collect all funds owed by Jae Hyun Shim.

Member Swanson’s motion: To approve a payment plan of \$100 per month for the total amount owed of \$1,330, including court costs.

Vote on motion: Unanimously passed.

C. Waiver Requests

Entity	Late Fee/ Civil Penalty	Report Due	Factors and Recommended Action	Board Member's Motion	Motion	Vote on Motion
1. 49th Senate District DFL (New) (20932)	\$100 LFF	Pre Primary	Report due July 25, 2022, and received July 27, 2022. New treasurer emailed the report to Board staff but did not submit electronically causing the delay. Ending cash balance as of December 31, 2022, was \$1,018. RECOMMENDED ACTION: Waive	Leppik	Approve staff recommendation	All members present voted in the affirmative
2. 48th Senate District DFL Central Committee (Old) (20784)	\$100 LFF	Pre Primary	Report due July 25, 2022, and received July 27, 2022. New treasurer emailed the report to Board staff but did not submit electronically causing the delay. The committee has subsequently terminated. RECOMMENDED ACTION: Waive	Leppik	Approve staff recommendation	All members present voted in the affirmative
3. Minnesota African American Political Committee (41134)	\$50 LFF	2022 Year End	Year-end report due January 31, 2023. Received by the Board February 2, 2023. The treasurer thought he had submitted the report timely but accidentally submitted the September 2022 report. The treasurer has expressed issues using the CFB software as the text is small and cannot be made larger. There have been minimal transactions since 2014 and all reports in 2022 were no change reports including this one. Balance as of December 31, 2022, was \$6,130. RECOMMENDED ACTION: Waive	Leppik	Approve staff recommendation	All members present voted in the affirmative

<p>4. Draft Kendall Qualls for Governor Committee IE (41271)</p>	<p>\$100 LFF</p>	<p>2022 Year End</p>	<p>Report due January 31, 2023, and received by Board February 6, 2023. The treasurer hit the wrong button and filed an amendment to the pre-general report. Once error was noticed worked quickly to correct. This was a no change statement. Balance as of December 31, 2022, was \$9,081. RECOMMENDED ACTION: Waive</p>	<p>Leppik</p>	<p>Approve staff recommendation</p>	<p>All members present voted in the affirmative</p>
<p>5. Liedl for House (18811)</p>	<p>\$150 LFF</p>	<p>2022 Year End</p>	<p>Report due January 31, 2023, and received by the Board February 8, 2023. This was a candidate who was not endorsed and not on the general ballot. Due to the early nature of their campaign ending, they forgot to file the annual report. The annual report was also a termination report. The ending balance was \$0. RECOMMENDED ACTION: Waive</p>	<p>Leppik</p>	<p>Approve staff recommendation</p>	<p>All members present voted in the affirmative</p>
<p>6. Aleta (Borrud) for MN Senate (18491)</p>	<p>\$1,000 LFF</p>	<p>Pre-General 24 HR Notice</p>	<p>Notice due October 27, 2022, and not received until February 9, 2023. This was a software issue in which the notice was filed timely and appropriately as verified by Board staff. Balance as of December 31, 2022 was \$22,068. RECOMMENDED ACTION: Waive</p>	<p>Leppik</p>	<p>Approve staff recommendation</p>	<p>All members present voted in the affirmative</p>
<p>7. MN Police & Peace Officers Assoc Leg Fund (30288)</p>	<p>\$1,000</p>	<p>Pre-General 24 HR Notice</p>	<p>Notice due October 29, 2022, and filed with the 2022 annual report. The contribution was from the fund's supporting association. This is the first time membership fees which are paid to the fund have fallen within a 24-hour reporting period. Due to the nature of the contribution, it was an oversight by the treasurer. Balance as of 12/31/22 was \$2057. RECOMMENDED ACTION: Waive</p>	<p>Leppik</p>	<p>Approve staff recommendation</p>	<p>All members present voted in the affirmative</p>

8. Liz (Olson) For Duluth (17946)	\$1,000	Pre-General 24 HR Notice	Notice due October 27, 2022, and filed with 2022 annual report. The contribution was an in-kind contribution from Senate District 8 for advertising. The treasurer was not aware of the in-kind contribution until filing the year end reports. Balance as of 12/31/22 was \$6186. RECOMMENDED ACTION: Reduce to \$250		Removed from agenda as committee will amend its report to show no violation	
9. Pine County DFL (20126)	\$1,250 LFFs \$1,000 CP	2022 Pre-Primary & Pre-General	Pre-Primary report due July 25, 2022, and never received. Pre-general report due October 31, 2022, and received November 7, 2022. This is a new treasurer who has committed to attending training and implementing controls so this does not happen again in the future. Ending cash balance as of December 31, 2022, was \$460. RECOMMENDED ACTION: No recommendation	Swanson	Lay over until next month to allow time for the treasurer to certify that he has completed the Board's compliance training	All members present voted in the affirmative
10. Scott Klein (Public Official)	\$20 LFF	2021 Annual EIS	Report due January 31, 2022 and received February 20, 2022. Does not give reasons why the report was late beyond policy considerations regarding why watershed districts should not have to report. RECOMMENDED ACTION: None		No motion	

D. Informational Items

1. Payment of late filing fee for 2022 year-end report of receipts and expenditures

Campaign for Jill Abahsain, \$25

2. Payment of late filing fee for 2022 pre-general report of receipts and expenditures

- Houston County DFL, \$50
- Cottonwood County RPM, \$50
- NRA Political Victory Fund, \$400
- 51st Senate District DFL (New), \$50
- Simon (Steve) for Secretary of State, \$50
- Take Action PAC, \$50

3. Payment of late filing fee for 2022 pre-general 24 hour notice of large contributions

United Food & Commercial Workers Council 6, \$2,950

4. Payment of late filing fee for lobbyist disbursement report due January 17, 2023

Sherry Munyon, \$175
Stacey Mickelson, \$125
Daniel J. Larson, \$25
Chris Conry, \$50
Steve Gottwalt, \$25
Robert Doar, \$25
Ian Marsh, \$125

5. Payment of civil penalty for failing to register as lobbyist principal

Our Streets Minneapolis, \$4,000

6. Payment of civil penalty for exceeding contribution limits

Doug Wardlow for Attorney General, \$250
Shane Mekeland for MN House Representative, \$125

7. Payment of late filing fee for Original EIS

Foung Hawj, \$5
Taylor Hammond, \$45
Farhio Khalif, \$15

8. Payment of late filing fee for annual EIS due January 31, 2022

Jordan Bergeman, \$100

9. Forwarded anonymous contributions

Campaign Fund of Lauren Bresnahan, \$40

LEGAL COUNSEL'S REPORT

Mr. Hartshorn presented members with a legal report that is attached to and made a part of these minutes. Mr. Hartshorn stated that a default judgment motion has been drafted and should be filed next week in the Martinez-Perez matter.

EXECUTIVE SESSION

Chair Soule recessed the regular session of the meeting and called to order the executive session. Upon recess of the executive session, the chair reported into regular session the probable cause determination in the Matter of the Complaint of Christine Fischer regarding the Westrom (Torrey) for Senate Committee.

There being no other business, the meeting was adjourned by the chair.

Respectfully submitted,

Jeff Sigurdson
Executive Director

Attachments:
Legal report



MINNESOTA

CAMPAIGN FINANCE BOARD

Board Meeting Dates for Calendar Year 2023

Meetings are at **9:30 A.M.** unless otherwise noted.

Wednesday, June 7

Wednesday, July 5

Wednesday, August 2

Wednesday, September 6

Wednesday, October 4

Wednesday, November 1

Wednesday, December 6



MINNESOTA CAMPAIGN FINANCE BOARD

Date: April 19, 2023

To: Board Members

From: Jeff Sigurdson, Executive Director

Telephone: 651-539-1189

Re: Executive Director's Report

Board Operations

Lobbyist Principal Report

The Annual Principal Report, which disclosed total expenditures on lobbying during 2022, was due on March 15, 2023. As of April 19, 2023, reports have been received from 1,476 of the 1,483 principals required to file. The reports filed so far disclose that **\$75,955,499** was spent in 2022 to influence the official actions of the legislature, administrative agencies, and metropolitan governmental units. Additionally, the amount spent to influence administrative action by the Minnesota Public Utilities Commission in cases of rate setting, power plant and power line siting, and granting of certificates of need came to **\$6,129,008**. Total lobbying expenditures currently reported for 2022 amount to **\$82,084,507**. This is the largest amount ever reported for lobbying disbursements in Minnesota.

Below is a table showing the total spending reported on the Annual Principal Reports for the last ten years. A principal is permitted, but not required, to round the amount reported to the nearest \$20,000.

Year	Amount Spent to Influence Legislative Actions, Administrative Actions, and Actions of Metropolitan Governmental Units	Amount Spent to Influence the Actions of the MN Public Utilities Commission	Total Amount of Spending Reported by Principals
2022	\$75,955,499	\$6,129,008	\$82,084,507
2021	\$69,140,652	\$5,177,947	\$74,318,599
2020	\$62,974,402	\$5,049,003	\$68,023,406
2019	\$68,363,214	\$7,809,960	\$76,173,174
2018	\$63,768,044	\$15,029,661	\$78,797,705
2017	\$66,029,621	\$9,641,044	\$75,670,665
2016	\$62,140,012	\$6,222,560	\$68,362,572
2015	\$64,039,699	\$5,177,019	\$69,216,718
2014	\$64,733,179	\$5,673,292	\$70,406,471
2013	\$69,420,091	\$5,337,767	\$74,757,859

Campaign Finance Training

Staff conducted the first campaign finance compliance training for treasurers of the year on April 4. Twenty-one individuals attended the training, which was presented via WebEx. The training presented information on reporting contributions and independent expenditures for the Hennepin County elections which now must be reported to the Board.

Initial Rollout of the Online Version of the Campaign Finance Reporter Application

The Board provides at no cost campaign finance reporting software to all candidates, party units, political committees and political funds. The Campaign Finance Reporter software was originally developed in 1998 using Microsoft Visual Basic as the development tool. The software has been updated over the years, but it has several significant limitations. For example, the software cannot be easily used on an Apple computer, and cannot be used at all on a Chromebook. Further, Visual Basic is no longer supported by Microsoft, so updating the software was increasingly problematic and required distributing a new version to all users. In short, the software is increasingly difficult to support and use.

The Board's IT staff started development of a web-based reporting application in 2020, and a beta version was finished in 2022. I was not comfortable with the idea of staff being able to support rolling out the application for all committees during a state election year, but the application was used by five committees to successfully report receipts and expenditures during 2022.

The goal now is to provide the application to all registered committees during 2023, and to finish the migration to the application before the filing of the year-end report due in January of 2024. The application is supported by a new username/password system which allows committees to have multiple people enter transactions into the application and access up-to-date status reports. Staff has also developed a migration process that will transfer all data entry already done in Campaign Finance Reporter in 2023, and all donors and vendors created at any time, into the online application.

Trying to move the 1,450 committees of all types registered with the Board to the application at one time would be more than staff can support. Therefore, the rollout will be in segments. The first release will be to the seventy-seven registered independent expenditure committees and funds, and will occur at the end of this month. Additional releases will occur throughout the spring and summer, with all committees offered the application by this fall.

To support treasurers in using the application staff has so far developed thirteen online training videos on how to enter contributions and expenditures, and is developing another eighteen videos on generating reports, PCR receipts, and other specific features. This is a major project for the year, and all staff are involved with the application release.

Update on Legislative Action

As I reported last month the two budget change items requested by the Board; \$50,000 a year to pay for moving the Board's databases and applications to the Azure cloud, and \$110,000 a year needed to fund the current staff complement, were both included in the Governor's budget request. The Senate and House state government finance bills (SF 1646 and HF 1723) adopted the Governor's budget recommendations for the Board, so I'm relatively confident that funding for the requested change items will be approved.

The Board's policy recommendations have generally been accepted as well. The lobbying proposal has been included in the election/campaign finance policy bills for both the House and Senate (SF 1362 and HF 1723).

The economic interest statement proposal to require the disclosure of property and investments held by the public official's spouse is moving forward in both bodies, but disclosing the investments and property of a domestic partner did not have any support. The proposal to disclose any contract, professional license, lease, or franchise issued by an agency for which the public official works is in the policy bills for both bodies, as is the proposal for reduced disclosure required for soil and water district supervisors and water district and watershed management organizations.

The campaign finance proposals on technical issues were accepted in both bodies, as was the proposal to provide a procedure for accepting virtual currency contributions. However, the language on virtual currency contributions was modified in the Senate to include contributions made through an application like Venmo, so the language for that issue is no longer the same in both bodies. The Board recommended language that would change the standard for independent expenditures from expressly advocating to the functional equivalent of expressly advocating was not accepted, but similar language first introduced by Rep. Greenman in HF 3 is moving forward, and has been passed by the House. The Board's recommendations on noncampaign disbursements received mixed reactions. The House passed out of committee all of the recommendations. However, the Senate only accepted the recommendation to acknowledge recount costs as a non-campaign disbursement. The recommendation to increase the maximum amount of the political contribution refund was not heard in the House, and a bill to increase the maximum refund from \$50 to \$100 was heard in the Senate, but did not leave committee.

There are several other significant policy changes that appear likely to pass this year. I have listed a policy change below only if it is moving forward in both bodies.

- **Foreign-influenced corporations.** Political contributions from for-profit foreign-influenced corporations are prohibited, including contributions to independent expenditure committees. All for-profit corporations must certify to the Board that they are not a foreign-influenced corporation and are eligible to contribute to independent expenditure or ballot question committees and funds.
- **Scope of lobbying.** Currently registration and reporting of lobbying of local government is limited to those lobbying metropolitan governmental units (the seven counties in the metro area, cities in the metro area with a population of over 50,000, the Metropolitan Council and Metropolitan Airport Commission, and regional railroad authorities). The scope of lobbying is changed to include lobbying of all political subdivisions of the state. That includes 80 more counties, 839 more cities, 330 school districts, and 1,764 townships. In addition to registration and reporting for individuals who meet the definition of a lobbyist because of their activity to influence official actions at the local level, the local officials of those political subdivisions will now be subject to the gift prohibition for lobbyists and principals. In the fiscal notes for this proposal I requested an additional staff member to help administer the lobbying program; both the Senate and House finance bills provide funding for an additional staff member.
- **Electioneering communications.** This provision would require associations to report to the Board the cost of certain communications that list a recognized candidate that occur within 60 days before a state general election, or 30 days before a state primary election. The communication must be reported to the Board if the cost exceeds

\$10,000, the communication is targeted to an audience that can vote on the candidate, and the communication is on broadcast television, cable television, satellite television, or a radio station. There are a lot of specifics to this provision that I will not go into now, but if passed this will be a significant policy change for the Board to administer.

- **Increased funding for the public subsidy program.** Both bodies are significantly increasing the amount of money available through the public subsidy program. The House is looking at an increase of approximately \$3 million, and the Senate is moving to add over \$4 million per election.
- **Additional Board staffing.** The Board is currently authorized to conduct random audits of registered committees, but rarely does so because of a lack of staff time available for that activity. Both the House and Senate believe that the Board needs to be better staffed in order to enforce Chapter 10A, and have provided funding for two additional staff positions.

Board Member Confirmations. The Senate Elections Committee will hold a confirmation hearing for members Flynn and Rashid on April 25th. The House has not yet scheduled a hearing.



MINNESOTA CAMPAIGN FINANCE BOARD

Date: April 19, 2023

To: Board members
Counsel Hartshorn

From: William Hager, Legal/Management Analyst

Subject: Enforcement report for consideration at the April 26, 2023 Board meeting

A. Discussion Items

1. Balance adjustment request – Gruenhagen (Glenn) for State Rep (17123)

This committee's 2021 year-end report listed an ending cash balance of \$18,410.00, rather than \$18,621.27, the amount in the committee's bank account. The committee's treasurer, Gary Carter, is unable to determine the cause of the discrepancy and stated that he believes the discrepancy predates his tenure as the committee's treasurer, which began in late 2016. The committee is thereby requesting an upward adjustment of \$211.27 to its reported 2021 ending cash balance, changing the balance from \$18,410.00 to \$18,621.27. The committee's registration with the Board was terminated in February 2023.

2. Balance adjustment request – Elkins (Steve) for House (18349)

This committee is the principal campaign committee for Rep. Steve Elkins. The amended 2021 year-end report has an ending cash balance of \$20,417.36, however, the committee's 2022 beginning cash balance is \$20,214.27. The committee's current treasurer, Paula Stein Clark, took over for the previous treasurer in April 2022. Ms. Stein Clark realized that the 2022 beginning cash balance did not match the bank balance. She worked with a Board staff member to adjust the 2022 beginning cash balance in the software, but was not informed that she needed to request a balance adjustment. Ms. Stein Clark has reviewed 2022 and is certain that the issue arose prior to her taking over as treasurer. The committee is thereby requesting a downward adjustment of \$203.09 to the 2022 beginning cash balance, changing the balance from \$20,417.36 to \$20,214.27.

3. Balance adjustment request – People for (Gregory) Davids (12604)

This committee is the principal campaign committee for Rep. Gregory Davids. The amended 2022 year-end report listed an ending cash balance of \$3,615.33, rather than \$4,735.33, the amount in the committee's bank account. The committee's previous treasurer, Jaynie Sheffer, passed away in 2022, and had been the treasurer for a significant period of time. Her husband, Joseph Sheffer, took over as treasurer in 2022. As Mr. Sheffer started reviewing the reports, he discovered several errors resulting initially in an approximate \$8,000 balance discrepancy. Mr. Sheffer and Rep. Davids worked with Board

staff, and brought in an accountant to review the committee's records, and were able to resolve many issues but were unable to resolve a \$1,120 balance discrepancy. The committee is thereby requesting an upward adjustment of \$1,120 to its reported 2022 ending cash balance, changing the balance from \$3,615.33 to \$4,734.33.

4. Administrative termination of lobbyist Sophie Wallerstedt (4225)

Be the Match/National Marrow Donor Program (NMDP) requested that the lobbyist registration of Ms. Wallerstedt be terminated as she ceased lobbying on behalf of that principal as of May 17, 2021. Ms. Wallerstedt has terminated her employment with the principal. NMDP is the only principal represented by Ms. Wallerstedt and Ms. Wallerstedt is the only lobbyist registered on NMDP's behalf. Ms. Wallerstedt continued filing lobbyist disbursement reports through June 2022, so there will be no outstanding reports if the termination is made retroactive to 2021. NMDP has not filed a principal's report for 2022 and if the termination is made retroactive to 2021, that will eliminate the requirement to file a principal's report for 2022.

B. Waiver Requests

#	Committee/ Entity	Late Fee/ Civil Penalty	Report Due	Factors	Prior Waivers	Recommended Action
1	Campaign For Lisa Pohlman (18685)	\$425 LFF	2022 Year-End	Report due January 31, 2023, and filed February 24, 2023. Treasurer quit before report due. Candidate did not update their address when they moved in 2021. Did not receive notice until certified letter was sent and then quickly contacted Board staff to resolve issue. This is a termination statement and the balance as of 12/31/22 was \$92.	None	Waive
2	Nels (Pierson III) for House (17651)	\$350 LFF	2022 Year-End	Report due January 31, 2023, and filed February 21, 2023. Treasurer moved out of state so candidate filed report. Was late due to trying to figure out new software and account information. Balance as of 12/31/22 was \$5,385.78.	None	Waive
3	Erickson (Mike) for House District 35A (18575)	\$350 LFF	2022 Year-End	Report due January 31, 2023, and filed February 21, 2023. This is a no change statement and termination report	None	Waive

				with a \$0 balance in the account. Worked with Board staff to try to get report in timely.		
4	Minnesota for Freedom (30733)	\$4,000 LFFs	4x Pre-General 24-Hour Notice	Four notices due before general election but not filed until year-end report. Treasurer attempted to file the notices with the Board but was disconnected. This appears to be an IT issue on the Board's end. Fund has terminated. Balance as of 12/31/22 was \$0.	None	Waive
5	Maria Regan Gonzalez (Richfield-Bloomington WMO)	\$20 LFF	2022 Annual EIS	EIS due January 30, 2023, and filed February 18, 2023. Previous six EISs were filed timely. This individual is no longer a public official. Was out of town during a large portion of the filing period.	None	Waive
6	Susan Morris (Isanti County)	\$50 LFF	2022 Annual EIS	EIS due January 30, 2023, and filed February 27, 2023. Previous six EISs were filed timely. This individual is no longer a public official. Was out of town getting surgery during most of the reporting period. Spoke with Board staff multiple times in an attempt to get the EIS filed.	None	Waive
7	Joel Larson (Board of Water & Soil Resources)	\$100 LFF \$1,000 CP	2022 Annual EIS	EIS due January 30, 2023, and filed March 20, 2023. Mr. Larson was on medical leave from September 2022 and did not return until March 20, 2023, which is when he promptly filed the EIS. There was no change to his previous EIS.	None	Waive

8	Tama Theis for MN House (17635)	\$275 LFF	2022 Year-End	Report due January 31, 2023, and filed February 15, 2023. Candidate who is her own treasurer had personal losses during summer 2022. Candidate chose to run for Senate but did not terminate the House committee and did not know she needed to file a year-end report for the House committee. Candidate did not have access to the email account associated with this committee after December 2022 as she was no longer an elected official. Accordingly, she did not receive CFB communications regarding filing the report. Candidate intends to terminate the committee soon.	None	Waive
9	Rebecca Delahunt (5080)	\$450 LFF	2022 Lobbyist Disbursement Report	Report due January 17, 2023, and filed February 10, 2023. The individual within the principal's organization who files the reports was out on medical leave during this time. Contacted staff prior to due date to explain the situation and filed as quickly as practicable.	None	Waive
10	Gregory Roiger (Brown SWCD SC/Area 6)	\$35 LFF	2022 Annual EIS	Report due January 30, 2023, and filed February 23, 2023. The individual is no longer on the watershed district and has health issues that prevented timely filing. When spouse was made aware of the issue she worked with staff to get the issue resolved.	None	Waive

11	Foundation for Minnesota's Future (7994)	\$350 LFF	2023 Lobbyist Principal	Report due March 15, 2023, and filed March 29, 2023. This is a new principal that was unaware of the requirement. Contact person was out of the office during the time when the report was due and notices were sent, so he did not receive notice until the day the report was filed.	None	Waive
12	John Carlson (Beltrami County)	\$60 LFF	Original EIS	Statement due March 6, 2023, and filed April 5, 2023. This is a new public official and the address provided was the public official's previous address, so notice had to be forwarded to the new address. When the public official learned the statement needed to be filed, he worked with staff to timely file the statement.	None	Waive
13	People for Maria Isa (Perez-Hedges) (Senate) (18734)	\$1,000 LFF \$1,000 CP	2022 Year-End	Report due January 31, 2023, and received by the Board April 10, 2023. This committee was formed for the candidate's Senate race but the candidate decided to run for House instead in 2022. When the committee realized there was a report required for the previous committee, they worked with staff to get it filed. This is a termination report with an ending balance of \$75.	None	Waive
14	Lyle Grochow (Sibley County)	\$100 LFF	Original EIS	Report due March 6, 2023, and received by the Board April 17, 2023. The public official indicated his town does not deliver mail to his physical address and instead uses a PO box system. The Board did not have his PO box or email to send him notifications. When he received the notice he	None	Waive

				promptly worked with staff to file the statement and provided the PO box and email to ensure he will get notices in the future.		
15	AHIP (Americas Health Ins Plans) (269)	\$325 LFF	2022 Lobbyist Principal	Report due March 15, 2023, and filed April 3, 2023. The notices were apparently going to a former employee. When the information was forwarded to the appropriate person, it was filed the same day.	None	Waive
16	Nu2Government (Michael Heidelberg) (18979)	\$500 LFF	Pre-General 24-Hour Notice	Report due October 31, 2022, and filed November 15, 2022. This is a first time candidate with five kids who was very busy during the time of the general election. The candidate would use the check date for the receipt date. Ending cash on December 31, 2022, was \$1,154.89.	None	Reduce fee to \$125
17	Friends for Ethan Cha (18874)	\$5,425 LFFs	6x Pre-General 24-Hour Notice Pre-General	Pre-general report due October 31, 2022, and filed November 7, 2022, resulting in \$250 LFF. One 24-hour notice due 10/26/22 was filed 11/4/2022, five others were never filed, resulting in LFFs totaling \$5,175. This is a first-time candidate whose mother passed away in mid-October and whose treasurer's grandmother passed away during the notice period. Balance as of December 31, 2022, was \$26,918.	None	Reduce all LFFs for 24-hour notices to \$250, And \$250 for the late filing fee, for a total balance owed of \$500
18	Dornink (Gene) for Senate Committee (18058)	\$1,000 LFF	Pre-Primary 24-Hour Notice	Notice due August 9, 2022, was not filed. The committee is unsure how they missed reporting. This is a first-time violation. Balance as of December 31, 2022 was \$329.	None	Reduce to \$250

19	Dennis Groebner (Redwood County)	\$100 LFF \$1,000 CP	2022 Annual EIS	EIS due January 30, 2023, and filed April 4, 2023. Official indicated he thought he emailed the form to staff but did not. He subsequently went on vacation and did not realize it still needed to be submitted until his return on April 2, 2023.	None	Waive CP but keep the LFF (\$100 would be owed)
20	Roslyn Robertson (Department of Labor and Industry)	\$100 LFF \$1,000 CP	2022 Annual EIS	EIS due January 30, 2023, and filed March 23, 2023. This individual is no longer a public official and retired from state service in August 2022. When this individual retired they moved out of state. While they did update information at their previous employer, the new address was not provided to Board staff. This individual did not receive the notices until returning home for a visit in late March, at which time they promptly came to the office and filed the report. This individual did not have anything that was reportable on the EIS.	None	Waive CP but keep the LFF (\$100 would be owed)
21	Pine County DFL (20126)	\$1,250 LFFs \$1,000 CP	2022 Pre-Primary 2022 Pre-General	Pre-Primary report due July 25, 2022, and never received. Pre-general report due October 31, 2022, and received November 7, 2022. This is a new treasurer who has committed to attending training and implementing controls so this does not happen again in the future. Board laid the matter over at the March meeting with instructions for the treasurer to verify they have completed offered online training. Treasurer verified training completed on	\$1,000 CP and \$500 LFF for 2018 Pre-Primary and \$525 LFF for 2019 Year-End Report	Reduce all to total owed of \$250

				3/6/23. Ending cash balance as of December 31, 2022, was \$460.		
22	John Ulrick for Public Office (18219)	\$2,066.34 LFFs \$2,000 CPs	2017 Year-End 2020 Pre-Primary 2020 Year-End 2021 Year-End 2x Candidate EIS	This is a candidate who ran for office but never received their party's endorsement and never appeared on a general election ballot. They did not realize reporting requirements applied to their committee since they did not receive the endorsement. Currently has a new treasurer working to ensure compliance. Partial payment of 2017 report due to revenue recapture. Cash balance as of 12/31/2022 was \$499.	None	No recommendation due to the total number of fees.
23	Leech Lake PAC (40889)	\$1,000 LFF	Pre-Primary 24-Hour Notice	Notice due July 22, 2022, and not provided. The individual completing the reporting is new to the organization and was not aware of the requirement. This payment was from the Leech Lake Band of Ojibwe. Ending cash as of December 31, 2022, was \$13,823.72,	\$450 2014 pre-primary \$200 2014 June \$150 2014 September \$225 2020 Year-End \$900 2020 1st quarter	First time violation of 24hr notice - \$250

C. Informational Items

1. Payment of late filing fee for 2022 year-end report of receipts and expenditures

Breanna Ellison for Duluth, \$450

2. Return of 2022 public subsidy

Fisher (Bill) for 31B/ Fisher (Bill) for Mn House, \$3,317.82

Jason Ruffalo, \$307.50

Campaign of William Johnston, \$1,508.84

3. Payment of late filing fee for pre-general 24-hour notice of large contribution

More Voices Minnesota, \$1,100

ODP-MN, \$1,000

Firefighters Assoc of Mpls Political Fund, \$1,000
Aric (Putnam) for MN, \$2,000

4. Payment of late filing fee for 2022 pre-general report of receipts and expenditures

60th Senate District DFL (New), \$100
Ashton for MN Committee, \$200

5. Payment of late filing fee for 2022 pre-primary report of receipts and expenditures

More Voices Minnesota, \$50

6. Payment of late filing fee for lobbyist disbursement report due 1/17/2023

Paul Austin, \$50
Cat Salonek, \$25
Mark Kulda, \$350
ThoaMee Xiong, \$375

7. Partial payment of civil penalty for annual statement of economic interest due 1/25/2021

Jae Hyun Shim, \$100

8. Payment of late filing fee for annual statement of economic interest due 1/25/2021

Jae Hyun Shim, \$100

9. Payment of late filing fee for candidate EIS

Ashton Ramsammy, \$35

10. Payment of civil penalties for exceeding the special source contribution limit

Neighbors for Dan (Wolgamott), \$1,550

11. Payment of civil penalty for exceeding the individual contribution limit

Rescue Minnesota, \$1,000
Dr. Scott Jensen for Governor, \$700
Doug Wardlow for Attorney General, \$500

12. Payment of late filing fee for original EIS

Natalie Walker, \$30

From: Gary Carter <germincon@gmail.com>
Sent: Friday, February 03, 2023 1:17 PM
To: Olson, Andrew (CFB) <Andrew.D.Olson@state.mn.us>
Cc: Stevens, Melissa (CFB) <melissa.stevens@state.mn.us>
Subject: Re: Year end report questions

The overpayment of the loan I mentioned above has been taken care of. I will be filing a termination report for the House Committee shortly.

Please make an administrative change to the ending cash for year 2021 (as requested above). The ending cash balance should be \$18,621.27 vs. the \$18,410.00 reported. An increase of \$211.27. This will reflect the actual bank balance.

Thank you again for your help
Gary Carter
Treasurer for Sen Glenn Gruenhagen (SD 17)

From: Gary Carter <germincon@gmail.com>
Sent: Friday, January 20, 2023 12:00 PM
To: Stevens, Melissa (CFB) <melissa.stevens@state.mn.us>
Subject: Year end report questions

Hi Melissa,

I was getting ready to file the EOY report for Gruenhagen for House and came up with two issues.

1) I apparently overpaid Glenn Gruenhagen for a loan that he made to his campaign by \$700. I am guessing that I can just have Glenn write a check to the campaign to pay this back. However it would not be reflected in 2022 reporting. Is this an acceptable way of doing this?

2) When I took this job over there was an existing out of balance condition. I did not see any way of making a correcting entry and had no access to previous documents and reporting so I have been carrying the out of balance condition. The amount is an overage for the bank account of \$288.73. Now that Glenn is terminating his old House of Rep campaign I need to get the balance down to zero. Question is - how should I handle this?

Thanks

Gary Carter

952-454-6397

From: [Paula Clark](#)
To: [Engelhardt, Megan \(CFB\)](#)
Cc: steve@elkinsforhouse.com
Subject: Elkins for House (18349) - request for balance adjustment
Date: Wednesday, March 22, 2023 4:09:19 PM
Attachments: [December 2021 Statement.pdf](#)
[Elkins Bank Reconciliation 12-31-2021.pdf](#)

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Hello, Megan:

Thank you for speaking with me today about the 2022 yearend report filed on 1/19/2023 for the Elkins for House campaign committee (#18349.)

As background, I took over the campaign treasurer responsibilities from Catherine Rollefson in April 2022. I stand by the numbers reported in the 2022 filings, but acknowledge there is an unidentified discrepancy of \$203.09 between the 2021 yearend cash balance and the 2022 beginning cash balance. I identified that problem when working on the pre-primary report in July 2022 and I worked with Gary Bauer who instructed me to make the change in the software rather than continue to carry forward the error. Without auditing all the records from the prior treasurer and prior years I cannot tell you in which prior year the error occurred.

Attached please find the two documents you requested to substantiate the 2022 beginning cash balance as reported on the 2022 filings:

1. Bank statement dated 12/31/2021
2. Bank reconciliation report dated 12/31/2021 showing two outstanding items

If you need anything else from me to resolve this issue, please let me know.

Paula Stein Clark
Cell: (763)-458-3476

From: [Gregory M Davids](#)
To: [Engelhardt, Megan \(CFB\)](#)
Subject: Re: Adjustment
Date: Monday, March 20, 2023 3:46:18 PM

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It should be Jaynie Sheffer. Not Jamie Sheffer. I apologize. Rep. Greg Davids

Sent from my iPhone

> On Mar 20, 2023, at 9:00 AM, Gregory M Davids <gdavids@centurytel.net> wrote:

>

>

> Jamie Sheffer, our longtime treasurer passed away in 2022. Her husband, Joseph, Sheffer took over as treasurer. When we were going over the reports, we found several errors. We spent over 100 hours trying to find and resolve the errors. We worked closely with board staff. Board staff was very helpful. At one point we were over \$8000 off. We brought in an accountant to help resolve the issues. We are in balance with the bank. We request an adjustment of \$1120 on the 2022 beginning balance. Thank you for your consideration, your friend, Gregory M Davids State Representative, District 26B

> Sent from my iPhone

April 17, 2023

VIA EMAIL ONLY

Ms. Erika Ross
Minnesota Campaign Finance and Public Disclosure Board
190 Centennial Office Building
658 Cedar St
St. Paul, MN 55155
erika.t.ross@state.mn.us

Dear Ms. Ross,


As you we discussed via phone conference today, Be The Match/National Marrow Donor Program (“**NMDP**”) recently learned of its former employee’s failure to timely terminate her Lobbyist Registration with the Minnesota Campaign Finance and Public Disclosure Board (the “**Board**”). We request that the Board correct this failure with a retroactive termination.

Sophie Wallerstedt was registered to lobby in Minnesota on NMDP’s behalf as its Designated Lobbyist from January 27, 2020, through December 31, 2022. Ms. Wallerstedt did in fact lobby for NMDP during the 2020 and 2021 legislative sessions; however, she did not engage in any lobbying on NMDP’s behalf during 2022. Our understanding is Ms. Wallerstedt registered as a lobbyist in 2022 as a precaution, in the event certain legislation may be introduced. No such legislation was introduced, though, and Ms. Wallerstedt did not engage in any lobbying activity in 2022. As a result, Ms. Wallerstedt’s registration should have been terminated effective May 17, 2021.

Because Ms. Wallerstedt is no longer employed by NMDP, we respectfully request that the Board retroactively terminate her Lobbyist Registration effective May 17, 2021, the final date she engaged in lobbying on behalf of NMDP.

Please contact me if you have any questions or require more information from NMDP to complete this termination. I appreciate your time and attention to our request.

Sincerely,



Jessica Knutson
Director, Government Affairs
National Marrow Donor Program/ Be The Match

From: [Pohlman, Lisa K](#)
To: [Engelhardt, Megan \(CFB\)](#)
Subject: Lisa Pohlman 2022 Year End Filing and Termination
Date: Friday, February 24, 2023 3:24:58 PM
Attachments: [2022_Candidates_YearEnd.pdf](#)

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Hi Megan-

Thank you for your help today. Attached is my filing document.

I would like to apply for a waiver for the late fees.

I was not aware of the requirements, because my treasurer quit, and I was not familiar with the filing requirements. Also, I did not receive the mailings sent by the MN Campaign Finance Board because I moved in 2021 and the letters were delivered to my old address. On Feb 21, I received a registered letter at my new address requesting the filing and advising that I was late and owed \$275 as of Feb 15 (\$425 as of today). The next day I contacted you to explain the situation and you contacted me today to walk me through how to complete the filing forms. I hope that I can get a waiver for this mistake.

Thank You and Best Regards,



LISA POHLMAN

Sourcing Manager

p: 612-201-1473

e: lisapohlman@safcoproducts.com

9300 W Research Center Rd

Minneapolis, MN 55428

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From: [Olson, Andrew \(CFB\)](#)
To: [Hager, William \(CFB\)](#)
Cc: [Engelhardt, Megan \(CFB\)](#)
Subject: FW: 2022 Campaign finance report
Date: Tuesday, February 21, 2023 11:41:32 AM
Attachments: [SKM_C30823022109520.pdf](#)

Hi Will,

Below is a waiver request for the April meeting from Nels (Pierson III) for House ([17651](#)). Megan, I will try to get the report checked in and added to the P drive later today.

Thanks!

Andrew

From: Nels Pierson <nels@nels.com>
Sent: Tuesday, February 21, 2023 9:28 AM
To: CFBEmail <cfb.reports@state.mn.us>
Subject: 2022 Campaign finance report

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Please find attached my 2022 CFR. My treasurer has moved out of the state and I had to take a lot of extra time to research and rebuild my report as well as to gain access to my various accounts for the first time since I started running. I would appreciate any willingness the Campaign Finance Board might allow to wave any fees that I have incurred due to the lateness of the report.

All the best.

Nels T. Pierson III

Erickson (Mike) for House District 35A (18575)

From: [Olson, Andrew \(CFB\)](#)
To: [Mike Erickson](#)
Cc: [Hager, William \(CFB\)](#)
Subject: RE: Report ico erickson for house
Date: Tuesday, February 21, 2023 5:47:43 PM

Hello Mike,

Thank you for filing your principal campaign committee's 2022 year-end/termination report. Your request to waive the \$350 late filing fee will be considered by the Board at its meeting scheduled for April 5, 2023. William Hager will be in contact with you after the April Board meeting to inform you of the Board's decision.

Respectfully,

Andrew Olson
Legal/Management Analyst
Minnesota Campaign Finance and Public Disclosure Board
651-539-1190

From: Mike Erickson <m_f_erickson@yahoo.com>
Sent: Tuesday, February 21, 2023 10:41 AM
To: CFBEEmail <cfb.reports@state.mn.us>
Subject: Report ico erickson for house

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I received your letter regarding the late filing. I have been exchanging emails with Megan for the last two or three weeks in an effort to file on time. As you may know, email is not a guaranteed delivery system and it has taken quite an effort on both of our part to get this done. I originally submitted my report on the date of signature and can understand a \$75.00 late filing fee, \$275.00 for a campaign that has no activity for over two years seems excessive.

Regards
Mile

[Sent from Yahoo Mail for iPhone](#)

From: [Lee Russell](#)
To: [Engelhardt, Megan \(CFB\)](#)
Subject: RE: 24-hour notices
Date: Thursday, February 23, 2023 1:02:54 PM

Hi Megan,

Thank you for clarifying.

Please do use this chain to submit a waiver request, and confirm that the attachments I sent will also be included.

Let me know if I need to do anything else on the submission front.

Thank you,

Lee

From: Engelhardt, Megan (CFB) <megan.engelhardt@state.mn.us>
Sent: Thursday, February 23, 2023 12:40 PM
To: Lee Russell <lrussell@republicanags.com>
Subject: RE: 24-hour notices

[EXTERNAL]

Lee,

I see that they are checked "filed" in your system, but they were not filed with the Board. You can see here which 24-hour notices we have: <https://cfb.mn.gov/reports-and-data/viewers/campaign-finance/political-committee-fund/30733/2022/> Our IT person looked into this issue and noticed that they were attempted to be filed, but that these four were disconnected before the upload was complete. Again, I think you have a good basis for the waiver, but I don't have the authority to waive the late filing fee. Thanks!

Megan

Megan Engelhardt
Assistant Executive Director
Minnesota State Campaign Finance and Public Disclosure Board
190 Centennial Building
658 Cedar Street
St. Paul, MN 55155-1603
651-539-1182
Megan.Engelhardt@state.mn.us

2b-logo-horizontal copy

From: Lee Russell <lrussell@republicanags.com>
Sent: Thursday, February 23, 2023 11:30 AM
To: Engelhardt, Megan (CFB) <megan.engelhardt@state.mn.us>
Subject: RE: 24-hour notices

Megan,

Thank you for your response. I will circle back with you re what to use for the waiver request. In the meantime, I just wanted to check on the 11/3/22 screenshot from the system. The 24 hour notice "filed" column all has checkmarks next to them...does this not mean they were filed electronically?

Thank you,
Lee

From: Engelhardt, Megan (CFB) <megan.engelhardt@state.mn.us>
Sent: Thursday, February 23, 2023 12:22 PM
To: Lee Russell <lrussell@republicanags.com>
Subject: RE: 24-hour notices

[EXTERNAL]

Hello Lee,

Thanks for reaching out. I see that you emailed screenshots of your attempt to file the 24-hour notice, but in fact those four 24-hour notices did not get filed electronically. I think you have a good basis for a waiver request to be considered by our Board. I can use your email below, or you can send me another email outlining your attempts. Our Board will consider your waiver request at the public portion of the meeting, so the waiver request is public. Please feel free to contact me if you have questions. Thanks!

Megan

Megan Engelhardt
Assistant Executive Director
Minnesota State Campaign Finance and Public Disclosure Board

190 Centennial Building
658 Cedar Street
St. Paul, MN 55155-1603
651-539-1182

Megan.Engelhardt@state.mn.us

2b-logo-horizontal copy



From: Lee Russell <lrussell@republicanags.com>

Sent: Tuesday, February 21, 2023 11:07 AM

To: Engelhardt, Megan (CFB) <megan.engelhardt@state.mn.us>

Subject: 24-hour notices

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Hi Megan,

I received the attached notice in the mail, however I did send in and file these four 24-hr notices. They are all attached here, please advise.

Thank you,

Lee Russell

24 Hour Notice

Name	Date	Amount	Filed
Republican Attorneys General	10/26/2022	41,300.	<input checked="" type="checkbox"/>
Republican Attorneys General	10/28/2022	467,276	<input checked="" type="checkbox"/>
Republican Attorneys General	10/31/2022	47,799.	<input checked="" type="checkbox"/>
Republican Attorneys General	11/01/2022	16,940.	<input checked="" type="checkbox"/>
▶ Republican Attorneys General	11/03/2022	17,619.	<input checked="" type="checkbox"/>

Name: Republican Attorneys General

Address: 1747 Pennsylvania Avenue NW
Suite 800

City: Washington

State: DC Zip Code: 20006

Employer:

Date: 11/03/2022

Amount: 17,619.12

In Kind:

Print

OK Cancel

24 Hour Report

Report of Large Pre-Election

Contribution or Loan (LPC)

30733

Minnesota for Freedom

1747 Pennsylvania Ave NW Suite 800

Washington, DC 20006

Name Republican Attorneys General

Address 1747 Pennsylvania Avenue NW

Suite 800

City Washington

State DC Zip Code 20006

Employer

Date 10/31/2022

Amount 47,799.00

In Kind

24 Hour Report

Report of Large Pre-Election

Contribution or Loan (LPC)

30733

Minnesota for Freedom

1747 Pennsylvania Ave NW Suite 800

Washington, DC 20006

Name Republican Attorneys General

Address 1747 Pennsylvania Avenue NW

Suite 800

City Washington

State DC Zip Code 20006

Employer

Date 11/01/2022

Amount 16,940.52

In Kind

24 Hour Report

Report of Large Pre-Election

Contribution or Loan (LPC)

30733

Minnesota for Freedom

1747 Pennsylvania Ave NW Suite 800

Washington, DC 20006

Name Republican Attorneys General

Address 1747 Pennsylvania Avenue NW

Suite 800

City Washington

State DC Zip Code 20006

Employer

Date 11/04/2022

Amount 17,619.12

In Kind

From: [Maria Regan Gonzalez](#)
To: [Hager, William \(CFB\)](#)
Subject: Re: Late 2022 Filing
Date: Monday, February 27, 2023 12:28:37 PM

Thank you for the information Will. I will not be able to attend the meeting but request that you please pass the following message to the board as an attachment to the item.

"I am sending this message to the Board to apologize for my late 2022 filing. I am requesting a waiver of the late fee, but understand if the request is not granted. I am primarily sending my apology for my late filing and understand the importance of meeting the deadline. Thank you.-
Maria Regan Gonzalez, former mayor of Richfield"

Thank you,

Maria

On Mon, Feb 27, 2023 at 9:00 AM Hager, William (CFB) <William.Hager@state.mn.us> wrote:

Good morning,

Thank you for sending us your waiver request. Your request will be considered by the Board at its meeting on April 5, 2023, at 9:30 am. While not required or expected, if you wish you may appear before the Board during that meeting to further explain your request and answer any questions. The meeting will be held in St. Paul. If you wish to attend remotely, you may join the meeting remotely via Webex or telephone.

I will be in contact with you shortly after the meeting to inform you of the Board's decision. Please feel free to contact me with any questions or concerns.

Thank you,

William Hager

From: Maria Regan Gonzalez <m.reganglz@gmail.com>
Sent: Saturday, February 18, 2023 6:29 PM
To: Ross, Erika (CFB) <Erika.T.Ross@state.mn.us>; Hager, William (CFB) <William.Hager@state.mn.us>
Subject: Late 2022 Filing

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Hi Erika and Will,

I just wanted to email you both to apologize for filing my campaign finance board statement late. I just retired from public office at the beginning of January and was out of town for my wedding and honeymoon. I'm sifting through mail and saw the late notice.

I filed everything today (late). I'd request to not be sent the late fee given I've filed every year previously, but understand if that is not possible.

Regardless I wanted to email you and close the loop on the filing. It's complete.

Thank you,

Maria Regan Gonzalez

From: [Susan Morris](#)
To: [Hager, William \(CFB\)](#)
Subject: Request to wave penalty
Date: Monday, February 27, 2023 11:18:22 AM

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Dear Campaign Finance Board,

My name is Susan Morris, I served as an Isanti County Commissioner.
Unfortunately I was not re-elected last fall, I confused this with Campaign Reports that I filed.
I lost access to my email as of January 3, so I did not see any further communication from you.

Also on January 3rd I had a major surgery and was in recovery mode for 6 weeks.

William contacted me by phone in mid February, I was on vacation and only had my smart phone to file. I tried repeatedly to file and I could not log on with my phone. William tried several ways to assist me, but to no avail. I returned home Sunday February 27 and Monday morning (February 28) I reached back out to William, I was able to get on a real computer, reset my password and file my report.

I am not an IT expert, but I think there are some issues with your website and smart phones.

I am asking for you to wave the penalty, because of extenuating circumstances.

Thank you for your consideration.

Respectfully,

Susan Morris
763-286-1953
Sent from my iPhone

From: [Joel Larson](#)
To: [Ross, Erika \(CFB\)](#)
Subject: Waiver Request - Joel Larson
Date: Monday, March 20, 2023 3:12:37 PM

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Dear Erika:

I am writing to request a waiver of fees and penalties due to late filing of my 2022 statement of economic interest for the Board of Water and Soil Resources (BWSR). I represent the University of Minnesota on that board. However, I began a leave of absence in September 2022 due to health concerns. I was no longer serving in my role at the University, and my email and physical mail were not monitored during that time. Due to that absence, I only received the request for filing when I returned to work today, March 20. I have now completed the statement of economic interest and would like to request a waiver of the fees and penalties that were indicated in your letters.

I am happy to answer any questions that you or the Board may have. You can reach me via email at jplarson@umn.edu or phone at 612-624-3738.

Thank you very much.

Joel Larson

--

Joel Larson

Associate Director | Water Resources Center | wrc.umn.edu
Extension Program Leader - Water | <https://extension.umn.edu/water>
University of Minnesota | umn.edu
jplarson@umn.edu | 612-624-3738
Pronouns: he/him

March 21, 2023

Dear Andrew Olson,

I realize that I have been difficult this past year. There were many deaths in our families, including my mom's. Mid-summer, I realized that I was dealing with depression and have been in treatment since September.

When I had decided to run for Senate District 14, I talked to one of the staff at Campaign Finance to try to clarify some things and I had heard that I did not have any reporting due for my House account. I was told to leave some money in that account in case I needed it for my State Representative needs. I more or less used it only for a few expenses and closed that bank account soon after election.

My treasurer for the Senate account experienced health issues in September into October. I took over my entering data and reports after that.

I only noticed correspondence for my Senate account. I do keep those reminders in a folder and I did not have any for my House account. The email on file was my House email, so that was not active after December. If any correspondence was sent after that, I did not receive that.

I appreciate your patience with me. It has been a most challenging year and while I am doing well, I still have work to do.

Thank you for the opportunity to explain why I didn't believe I have to final a report for my House account.

Sincerely,

Tama Theis

320-248-9474

TamaTheis@gmail.com



MINNESOTA
FAMILY COUNCIL

February 24, 2022

Megan Engelhardt
Assistant Executive Director
Suite 190
Centennial Office Building
658 Cedar Street
St. Paul, MN 55155-1603

RE: Penalty for Late Filing of Lobbyist Disbursement Report due on 01/17/2023
Reg No.: 5080

Dear Megan,

I'm writing in response to your letter of February 15, 2023, notifying me of a late filing fee assessed for late filing of our Lobbyist Disbursement Report due on January 17, 2023. We respectfully request that the penalty be waived for the following reason:

Our Chief Executive Officer, John Helmberger, contacted your office prior to the January 17th due date to explain that our financial reporting person, Cheryl Peterson, was homebound recovering from major surgery, and that she was therefore not available to prepare and file the report by the due date. Mr. Helmberger advised that we would file as early as possible, which we did, though Cheryl is still mostly homebound as of this date. The person Mr. Helmberger spoke with explained to him on that call that it was common for the Board to waive the late filing fee in such circumstances, though it was the Board's decision to make.

Again, we respectfully request that the late filing fee of \$450 be waived in this case due to the impact of major surgery and prolonged recovery on our financial reporting person's availability to prepare and file the report by the due date.

Thank you for your consideration.

Respectfully,

A handwritten signature in blue ink that reads "Rebecca J. Delahunt".

Rebecca Delahunt

From: [Cathy Roiger](#)
To: [Ross, Erika \(CFB\)](#)
Subject: Re: campaign finance
Date: Thursday, February 23, 2023 3:05:25 PM

Everything on that statement is correct. He is now retired, 75 yrs old, and has [REDACTED].
Thanks for your help. I hope this is what you need.

On Thu, Feb 23, 2023 at 10:34 AM Ross, Erika (CFB) <Erika.T.Ross@state.mn.us> wrote:

Good morning,

Attached to this email you will find Gregory Roiger's most recent statement. Please let us know if anything has changed. I will use your response in place of a new statement. I will also submit a late filing fee waiver to the Board so there will be no late filing fees. Please let me know if you have any questions.

Thank you,

Erika Ross

Programs Analyst

Campaign Finance and Public Disclosure Board

(651) 539-1187

2b-logo-horizontal copy



From: Cathy Roiger <franksmillfarm@gmail.com>
Sent: Sunday, February 19, 2023 6:16 PM
To: Ross, Erika (CFB) <Erika.T.Ross@state.mn.us>
Subject: campaign finance

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I am contacting you on behalf of my husband Gregory Roiger. He received a letter on Sat Feb 18. I don't know if he received on prior to that, but if he did I am sure he threw it away. He is now 75, is not on the SWCD board anymore, and has been diagnosed with [REDACTED]. I hope you can take care of this for ujs. Thank You, Cathy Roiger

home phone 507-637-0060

We will be leaving on Feb 27 to visit friends for a couple of weeks. Cell phone number is 507-766-6404 if we need to be contacted.

From: [Richard Larkin McLay](#)
To: [Ross, Erika \(CFB\)](#)
Subject: Following up - Principle Lobbyist Report Deadline Missed
Date: Tuesday, April 04, 2023 1:05:15 PM

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Hi Erika -

Thank you for taking my call this morning.

My deepest apologies again for missing the Principle Lobbyist March 15 deadline. It was a complete oversight. This is my first time as a registered lobbyist and having to file these reports, so this whole process is entirely new to me.

I submitted my report as soon as I received your March 29 notice in the mail this morning (I've been sick and out of the office for a couple days).

Please extend my sincerest apologies again to the board. If there is any leniency or consideration on their part to waive my late fee, I would be tremendously grateful. I won't miss another deadline.

Thank you again.

Richard Larkin McLay
612.559.3638

From: [John](#)
To: [Ross, Erika \(CFB\)](#)
Subject: Statement of Economic Interest
Date: Thursday, April 06, 2023 7:54:51 AM

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Erika,

Thank you for your assistance via phone this afternoon.

As I indicated to you on the phone, I was unaware of the requirement to file an Original Statement of Economic Interest until I returned from a 3 week vacation on April 3.

The notice I received was dated March 7, 2023 but was addressed to a previous residence that I moved from about 10 years ago. I'm not sure how they were able to deliver to my new address, but I'm glad they did because I want to be in full compliance.

The original letter that you referenced from January was never received by me, probably because of the incorrect address.

Please accept my apology for the lateness in filing this report (completed online today: April 5, 2023) and given the extenuating circumstance of the address error please forgive any late penalty.

John Carlson

Beltrami County Commissioner District 5
2900 Birchmont Dr NE
Bemidji, MN 56601
jcarlson@paulbunyan.net
218-766-5010

From: [Mariesa Sun-Saenz](#)
To: [Engelhardt, Megan \(CFB\)](#)
Cc: [Maria Isa](#); [Peterson, Jon \(CFB\)](#)
Subject: Re: #18734 late fee waiver application
Date: Wednesday, March 01, 2023 11:31:12 PM

Ok, sorry, I didn't realize that at all. I will file that asap, unfortunately I'm moving this weekend so the timing couldn't be worse. I will keep you updated.

Thank you for your patience!

Mariesa
612.532.0795 | c

> On Feb 28, 2023, at 3:25 PM, Engelhardt, Megan (CFB) <megan.engelhardt@state.mn.us> wrote:

>

> Hello Mariesa,

>

> I left you a voicemail about this issue earlier, but I wanted to follow up. I know I told you that we had the 2022 year-end report for #18734, which is the Maria Perez-Hedges Senate committee, but the staff person looking for the report say the Perez-Hedges House committee report and did not realize that there were two candidate committees. It looks like you did file aa amended 2022 year-end report for the House committee (#18746). I don't know what is going wrong in filing the Senate report, so please reach out to Jon Peterson at 651-539-1186, because we do need the report for #18734. I have also copied him on this email.

>

> Since Representative Perez-Hedges was elected to the House, and the Perez-Hedges Senate committee gave to the Perez-Hedges House committee in January 2022, you do need to terminate the Perez-Hedges Senate committee (#18734) as soon as possible. Thanks!

>

> Megan

>

> Megan Engelhardt

> Assistant Executive Director

> Minnesota State Campaign Finance and Public Disclosure Board

> 190 Centennial Building

> 658 Cedar Street

> St. Paul, MN 55155-1603

> 651-539-1182

> Megan.Engelhardt@state.mn.us

>

>

>

>

> -----Original Message-----

> From: Mariesa Sun-Saenz <mariesa@scenergyagency.com>

> Sent: Friday, February 24, 2023 7:40 PM

> To: Engelhardt, Megan (CFB) <megan.engelhardt@state.mn.us>

> Cc: María Isa <team@mariaisa.org>

> Subject: Re: #18734 late fee waiver application

>

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>

> _____

>
> Hello Megan and CFB,
>
> I would like to apply for a late fee waiver for the late filing fees incurred by campaign #18734, People for Maria Isa.
>
> I worked on the file leading up to Jan 31, 2023 and submitted it at around 4:45pm. I texted one of the campaign team leaders to let him know it said "file uploaded correctly" but I was interested if they received a confirmation email. I believed it had been uploaded on time and correctly.
>
> The file has now been uploaded and received correctly and next time I will confirm with you that you received it, as to not incur future late fees on filing due to a failed receipt.
>
> Please let me know if you would like any other information and I apologize for the error.
>
> Thank you,
>
> Mariesa Sun-Saenz
> Treasurer for People for Maria Isa
> #18734
> 612.532.0795

From: snackman007@gmail.com
To: [Ross, Erika \(CFB\)](#)
Subject: Late Fee for Economic Interest
Date: Monday, April 17, 2023 4:00:31 PM

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Good Afternoon,

On April 17th I received a letter informing me of my being late with filing my Statement of Economic Interest with the Minnesota Campaign Finance Board. In the letter it said I have accrued a late fee of \$80.00 up to today. I am asking that this fee be waived for the reason that the address used for the previous letters is incorrect. The mail in Gaylord is not delivered to physical addresses, if you receive mail through the Post Office box that has to be on the address. I didn't receive the letters that were sent out on December 30, 2022 or April 5, 2023 and am not sure how I got the letter today. I again ask that the late fee be waived due to the P.O. Box number not being on the first two letters which were sent out. I can be reached at my phone number of 507-380-3874 with any questions you may have.

Thank You,
Lyle Grochow

I also ask that you let me know that you receive this.

Hager, William (CFB)

Subject: FW: Mail
Attachments: Minnesota Campaign Finance Board 03292023.pdf

From: Reid LeBeau <rlebeau@thejacobsonlawgroup.com>
Sent: Monday, April 3, 2023 11:09 AM
To: Sigurdson, Jeff (CFB) <jeff.sigurdson@state.mn.us>
Subject: Fwd: Mail

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Hey Jeff. Apparently this still had the old client contact on it.

We will get the report filed today. We would like to request a penalty waiver as this was inadvertent. We just got the notice today.

Thanks
Reid

R. Reid LeBeau II
Managing Shareholder- G.R.
Jacobson Law Group

Begin forwarded message:

From: "Lobejko, Patrick" <plobejko@ahip.org>
Date: April 3, 2023 at 10:34:17 AM CDT
To: Reid LeBeau <rlebeau@thejacobsonlawgroup.com>
Cc: "Motter, Miranda" <mmotter@ahip.org>, "Hathaway, Kristen" <khathaway@ahip.org>
Subject: FW: Mail

Hey Reid, we received the following from the Campaign Finance Board. Could you let us know what we need to do here? Do we have to simply terminate Josh's lobbying registration or is there something else we're missing? Thanks for your help.

From: Motter, Miranda <mmotter@ahip.org>
Sent: Monday, April 3, 2023 10:18 AM
To: Hathaway, Kristen <khathaway@ahip.org>; Lobejko, Patrick <plobejko@ahip.org>
Subject: FW: Mail
Importance: High

From: Grant, Matt <mgrant@ahip.org>
Sent: Monday, April 3, 2023 11:03 AM

To: Motter, Miranda <mmotter@ahip.org>
Cc: Office Services <OfficeServices@ahip.org>
Subject: Mail

Hi Miranda,

Your dept. received a letter from Minnesota Campaign Finance Board. Please view attached.

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From: [Michael Heidelberg](#)
To: [Engelhardt, Megan \(CFB\)](#)
Subject: 24-Hour Notice
Date: Wednesday, March 29, 2023 1:23:34 PM

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I am requesting a reduced or waived late filing fee for my donation from the Nobles County DFL.

My campaign staff consists of my wife and me. We have five children, and last summer got pretty hectic, especially around election time. When using the reporting software, I would enter the date the check was written as the date received.

This check came in through the mail, so I needed to catch up on reporting. As for the rest of the days, I always uploaded the files when prompted to by the software, but I cannot deny the possibility that there was some user error either with the software or with misplacing the envelope with the check inside.

In the interest of the average person running for office, I ask that the fee be waived for a small campaign having no staff in rural Minnesota. Thank you for your time.

--

Michael Heidelberg
DFL Candidate for District 21B
507 360 3806
nu2gov.com
@nu2gov

Ethan Cha
Friends for Ethan Cha
8362 Tamarack Village, STE 119-321
Woodbury, MN 55125

February 19, 2023

Campaign Finance and Public Disclosure Board
190 Centennial Office Building
658 Cedar Street
Saint Paul, MN 55155

RE: Report of Large Pre-Election Contribution 24 Hour & Pre-General Report Filing Waiver Request

Dear Campaign Finance Board,

I am writing to the board today to request a waiver for the late filing fees resulting from non-reporting of large pre-election contributions over \$500 from a single source between October 25, 2022 and November 07, 2022 within 24 hours. I am also requesting a waiver of the late filing of the pre-general report.

During this period, there was a convergence of events and issues that led to the reporting oversight. I have laid out the information in chronological order by date so that you can see how the events unfolded.

- **10/5/2022** – My mother, Mao Lee, was hospitalized for heart condition.
- **10/18/2022** – My mother, passed away.
- **10/19/2022** – As the oldest son of my family, I began working on cultural rituals and funeral arrangements for the passing of my mother which spanned the course of a few weeks.
- **10/27/2022** – Khao Thao, my Treasurer's grandmother was hospitalized.
- **10/31/2022** – I notified Megan Engelhardt of my mother's passing via email.
- **11/01/2022** – Khao's grandmother passed away
- **12/09/2022 – 12/12/2022** – Mao Lee's Funeral. The funeral was delayed due to the election timeline.

The unfortunate, personal incidents of loss of our loved ones created barriers which led to overlooking reporting obligations. Considering the circumstance, I hope that the Board will grant a waiver of the late fees. Any or all relief would be greatly appreciated.

As a first-time candidate, I have learned a great deal about campaign finance rules during this election cycle. As a candidate and now an elected, I respect the duties and enforcement of the Campaign Finance Board to ensure compliance of the rules. My team and I had every intention to comply. We take the oversight seriously. Going forward, I have put measures into place in case unexpected occurrences emerge in the future. Thank you for the opportunity to explain the extenuating circumstances. If you have any questions, do not hesitate to contact me. Again, thank you for your consideration.

Kind Regards,



Ethan Cha

Cc: Khao Thao

Dornink (Gene) For Senate Committee (18058)

From: Gene Dornink <genedornink@gmail.com>

Date: March 2, 2023 at 9:56:26 AM CST

To: megan.englhardt@state.mn.us

Subject: Fine

Good morning,

I am responding to the letter my treasurer sent me concerning our failure to send notification of a larger donations.

I do not exactly know how we missed this, but we did. I admit we are guilty of the violation, there was no purposeful intent. It was a mistake we made and we will pay the fine. I am asking for grace on the amount of the fine. It is the first time that we have made a mistake on reporting.

Thank you for your consideration.

Gene

Sent from my iPhone

Redwood County Board of Commissioners
403 South Mill Street
P.O. Box 130
Redwood Falls, MN 56283
Phone: (507) 637-4016 Fax: (507) 637-4017
redwoodcounty-mn.us



April 6, 2023

Minnesota Campaign Finance Board
Suite 190, Centennial Office Building
658 Cedar Street
St. Paul, MN 55155-1603

Minnesota Campaign Finance Board,

I sincerely apologize for my negligence in reference to my failure to file with the Board for my annual statement of economic interest.

My computer skills are very limited. I had filled out the campaign finance report and THOUGHT I had emailed it to you on time but apparently I did not. A few days later, I received a notice from you and I assumed the late notice was sent prior to you receiving my filing and everything was o.k. I then was out of state for six weeks and did not receive my mail until my return on 4-2-23.

I apologize for the delay and request that you consider waiving the penalty. If you have any questions or concerns, please don't hesitate to contact me at (507) 227-1699.

Thank you!

Sincerely,

Dennis Groebner
Redwood County Commissioner

1st District
RICK WAKEFIELD
P.O. Box 473
Walnut Grove, MN 56180
(507) 859-2369
Rick_W@co.redwood.mn.us

2nd District
JIM SALFER
865 Pine Street
Wabasso, MN 56293
(507) 342-2431
Jim_S2@co.redwood.mn.us

3rd District
DENNIS GROEBNER
250 Center Street
Clements, MN 56224
(507) 692-2235
Dennis_G@co.redwood.mn.us

4th District
BOB VANHEE
503 Fallwood Road
Redwood Falls, MN 56283
(507) 616-1000
Bob_V@co.redwood.mn.us

5th District
DAVE FORKRUD
P.O. Box 235
Belview, MN 56214
(507) 430-1907
Dave_F@co.redwood.mn.us

From: [Roslyn Robertson](#)
To: meagan.engehardt@state.mn.us
Cc: [Ross, Erika \(CFB\)](#); willia.hager@state.mn.us
Subject: Annual Statement of Economic Interest for Public Officials
Date: Friday, March 24, 2023 1:27:57 AM

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Ms. Engelhardt,

I am writing to request forgiveness of any late fee or civil penalties that have been or may be assessed due to the annual statement not being submitted by January 30, 2023.

Here are my circumstances. I retired from state service as the commissioner of MN Department of Labor and Industry on 8/10/2022. I immediately returned to Louisiana where I am a resident. While serving as commissioner my mailing address remained in Louisiana, so I didn't file a change of address because my mailing address never changed. All other mail coming from the state of Minnesota is received at my address. I didn't receive the notices that the form was due until I visited Minnesota on 3/22/2023, at which time I filled out the form and immediately returned it to your office on 3/23/2023.

Please know that I understand the importance of form and the disclosure. My negligence was because I did not receive the information. With this in mind, I do not think that a late fee or civil fine is appropriate.

Thank you in advance for your consideration. Please contact me if you have questions or need additional discussion.

Thank you

Roslyn Robertson

From: [James Melvin](#)
To: [Hager, William \(CFB\)](#)
Subject: RE: LFF Waiver request for Pine DFL (20126)
Date: Monday, March 06, 2023 2:13:37 PM
Attachments: [image001.png](#)
[image](#)

Greetings,

As per the boards request, I have completed the video seminar training at the links you provided, as well having downloaded the PDFs of the handouts for review and as reference in the future. In addition, I have downloaded and retained for reference the CFB Politcal Party Unit Handbook (3/7/2022) accessed today from:

https://cfb.mn.gov/pdf/publications/handbooks/PTU_handbook.pdf?t=167779

Thank you for directing me to these trainings,
-Jamesdd



crackle & hum

James Melvin (he/him)
(W) crackleandhum.com
(C) 843.276.7733

----- Original Message -----

On Thursday, March 2nd, 2023 at 8:33 AM, Hager, William (CFB) <William.Hager@state.mn.us> wrote:

Good morning,

At the Board meeting yesterday, the Board decided to lay over your waiver request until next month for reconsideration. In the meantime, the Board would like you to complete and certify completion of online trainings offered by the Board. Below are links. Once you have completed the training or if you have already completed the training, please respond to this email stating such. There are two trainings below and there will also be offered as live training in the coming months we recommend you attend. There are also technical trainings available on the website. To find trainings simply navigate to our website, under the filer resources select the online videos link and then select the applicable training.

The trainings can be found here:

https://cfb.mn.gov/html/training/compliance/contributions/1.19-contributions_player.html

https://cfb.mn.gov/html/training/compliance/expenditures/1.19-expenditures_player.html

The handouts are here:

https://cfb.mn.gov/pdf/quicklinks/Compliance_Training_Contributions.pdf?t=1677767526

https://cfb.mn.gov/pdf/quicklinks/Compliance_Training_Expenditures.pdf?t=1677767526

If you have any issues or questions please let me know.

Thank you,
William

From: Hager, William (CFB)
Sent: Thursday, February 02, 2023 8:27 AM
To: James Melvin <james.melvin@protonmail.com>
Subject: RE: LFF Waiver request for Pine DFL (20126)

Good morning,

Thank you for sending us a request to waive the \$1000 late filing fee and \$1000 civil penalty for the pre-primary report and \$250 late filing fee for the pre general report of Pine County DFL. Your request will be considered by the Board at its meeting on March 1, 2023, at 9:30 am. While not required or expected, if you wish you may appear before the Board during that meeting to further explain your request and answer any questions. The meeting will be held at the Centennial Office Building in St. Paul. Those who wish to attend may join the meeting remotely via Webex or telephone.

I will be in contact with you shortly after the meeting to inform you of the Board's decision. Please feel free to contact me with any questions or concerns.

Thank you,

From: James Melvin <james.melvin@protonmail.com>
Sent: Wednesday, November 16, 2022 1:19 PM
To: CFBE-mail <cfb.reports@state.mn.us>
Subject: LFF Waiver request for Pine DFL (20126)

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Pine/Chisago DFL 11-B - Registry #20126

% James Melvin, Treasurer
46378 Government Rd
Hinckley, MN 55037
james.melvin@protonmail.com
Tel: (843) 276-7733

November 16, 2022

Re: CFB Reporting Penalties

We request the board's forbearance and waiver of fines in regard to our late filings to the CFB. My inexperience as treasurer, and the distraction of coordinating the care of a family member out of state led to the error- both in understanding the board's reporting requirements and the timeliness of our filings.

To prevent any further errors, we as a unit are instituting training provided by our party as well as the board itself- as well as precautionary measures to ensure that the absence of any single member of our organizing unit does not adversely affect our responsibilities to the board and to its filing requirements.

Respectfully,

James Melvin



crackle & hum

James Melvin (he/him)

(W) crackleandhum.com

(C) 843.276.7733

John Ulrick
37844 73rd Street
Hillman, Minnesota 56338
320-292-2831
Johnulrick1@gmail.com

24 February 2023

Ref: John Ulrick Campaign Account Late Fees

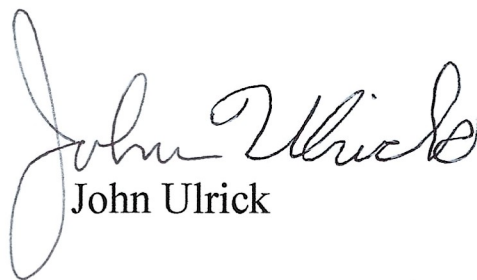
To: Campaign Compliance Board
Attn: Megan Engelhart

I John Ulrick, make the following statement. There are many days of penalties for late filing of reports from past years. October of 2015, I retired from the US Army after returning from combat tours overseas. I sign up to run for office not knowing all the details needed namely the requirements for reporting, after I did not get the endorsement from the party, I thought the requirements ended. I collected very little funds from donations and most of the funds were of my own. This past cycle I collected no funds and used my own funds for the campaign.

My account is still active and I believe there have been no discrepancies in reporting for the past cycle. I have a treasurer that I am very confident, will see that everything is in compliance. I am not sure if I will ever run for office again in the future.

If more information is needed, please forward your request to me or my treasurer and we will comply.

I respectfully request that the Compliance Board will forgive the penalties. I will see that this never happens in the future.


John Ulrick



LEECH LAKE BAND OF OJIBWE

Faron Jackson, Sr., Chairman

Leonard Fineday, Secretary-Treasurer

Kyle Fairbanks, District I Representative

Steve White, District II Representative

LeRoy Staples Fairbanks III, District III Representative

To whom it may concern,

I am writing to request a waiver for late filing fee Leech Lake PAC. Due to a loss in employees our office has been severely short staffed. Leech Lake PAC was handed off to my supervisor on June 15th after the accountant left our office. June 14th report that was submitted 1 day late.

I am the Junior Accountant that has now been assigned Leech Lake PAC. I did not realize that the \$10,000.00 coming from Leech Lake Band to Leech Lake Band PAC was considered a large donation until 3/27/2023. I spoke with Melissa Stevens on June 27th about how to report the funding but this did not come up.

Please feel free to contact me with any questions or concerns,

Thank you,

A handwritten signature in blue ink that reads "Tammy Karnes".

Tammy Karnes
Junior Program Accountant
Leech Lake Band of Ojibwe
P:(218)335-4433
F:(218)335-3616



**CAMPAIGN FINANCE AND PUBLIC DISCLOSURE BOARD
April 2023**

ACTIVE FILES

Candidate/Treasurer/ Lobbyist	Committee/Agency	Report Missing/ Violation	Late Fee/ Civil Penalty	Referred to AGO	Date S&C Personally Served	Default Hearing Date	Date Judgment Entered	Case Status
	Environment America dba Environment Minnesota Contacts: Timothy Schaefer, Chuck Caldart	2021 Annual Report of Lobbyist Principal, due 3/15/22	\$1,000 LFF \$1,000 CP	12/6/22				
Martinez-Perez, Ashley, Candidate	Ashley Martinez-Perez for MN House	2020 pre-general report of receipts and expenditures due 10/26/20, filed 10/28/20 2020 year end report of receipts and expenditures due 1/31/22, not filed	\$100 LFF \$1,000 LFF	6/13/22	8/12/22	5/2/23		
Thompson, John	John Thompson for 67A	Civil Penalty and late filing fee for the committee's 2022 year-end report	\$1,000 LFF \$1,000 CP	3/10/23				
	Trace, LLC Contacts: Ashley Moore, Patrick Hynes	2021 Annual Report of Lobbyist Principal, due 3/15/22	\$1,000 LFF \$1,000 CP	12/6/22				

CLOSED FILES

Candidate/Treasurer/ Lobbyist	Committee/Agency	Report Missing/ Violation	Late Fee/ Civil Penalty	Referred to AGO	Date S&C Served by Mail	Default Hearing Date	Date Judgment Entered	Case Status